

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) (PTO-1449)	ATTY. DOCKET NO.	SERIAL NO.
	19603/2595	09/830,520
	APPLICANT	
	Hempstead et al.	
	FILING DATE	GROUP ART UNIT
	October 28, 1999	1642



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GN	1	4,119,618	10/10/1978	Said			
	2	5,180,820	01/19/1993	Barde et al.			
	3	5,229,500	07/20/1993	Barde et al.			
	4	5,235,043	08/10/1993	Collins et al.			
	5	5,338,840	08/16/1994	Bayne et al.			
	6	5,401,832	03/28/1995	Linemeyer et al.			
	7	5,438,121	08/01/1995	Barde et al.			
	8	5,453,361	09/26/1995	Yancopoulos et al.			

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GN	9	WO 95/21193	08/10/1995	WIPO			
	10	WO 96/33731	10/31/1996	WIPO			
	11	WO 97/21732	06/19/1997	WIPO			

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GN	12	Oikawa et al., "Inhibition of Angiogenesis by Staurosporine, A Potent Protein Kinase Inhibitor," <u>The Journal of Antibiotics</u> , 45(7):1155-1160 (1992)
	13	Hardie, eds. <u>The Protein Kinase Factsbook II: Protein-Tyrosine Kinases</u> , London: Academic Press, pp. 208-217 (1995)
	14	Hempstead, "Strategies for Modulating Trk Receptor Activity," <u>Experimental Neurology</u> , 124:31-36 (1993)
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	16	McGregor et al., "Roles of Trk Family Neurotrophin Receptors in Medullary Thyroid Carcinoma Development and Progression," <u>Proc. Natl. Acad. Sci. USA</u> , 96:4540-4545 (1999)
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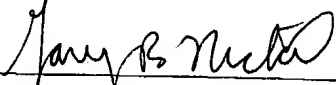
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CW	17	5,488,099	01/30/1996	Persson et al.			
	18	5,512,661	04/30/1996	Shooter et al.			
	19	5,534,615	07/09/1996	Baker et al.			
	20	5,654,427	08/05/1997	Dionne et al.			
	21	5,665,862	09/09/1997	Fischbach et al.			
	22	5,672,683	09/30/1997	Friden et al.			
	23	5,712,395	01/27/1998	App et al.			

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CW	24	WO 98/32859	07/30/1998	WIPO			
	25	WO 00/10552	03/02/2000	WIPO			
	26	WO 99/06073	02/11/1999	WIPO			Abstract

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CW	27	Donovan et al., "Neurotrophin and Neurotrophin Receptors in Vascular Smooth Muscle Cells," <u>American Journal of Pathology</u> , 147(2):309-324 (1995)
	28	Witzke et al., "Myocardial Ultrastructural Changes Induced by Administration of Nerve Growth Factor," in Gardner, ed., <u>Surgical Forum</u> , Vol. XXVII, Chicago, Illinois: American College of Surgeons, pp. 295-297 (1976)
	29	Kaye et al., "Nerve Growth Factor-Enhanced Reinnervation of Surgically Denervated Canine Heart," <u>Am. J. Physiol.</u> , 236(4):H624-H628 (1979)
	30	Long et al., "Trophic Factors for Cardiac Myocytes," <u>Journal of Hypertension</u> , 8 (suppl 7):S219-S224 (1990)
	31	Santos et al., "Nerve Growth Factor: Increased Angiogenesis Without Improved Nerve Regeneration," <u>Otolaryngol. Head Neck Surg.</u> , 105:12-25 (1991)
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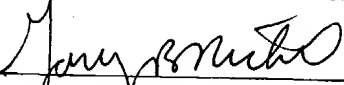
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GW	32	5,733,727	03/31/1998	Field	—	—	
	33	5,733,871	03/31/1998	Alps et al. <i>duplicate</i>			
GW	34	5,739,113	04/14/1998	Lee			
	35	5,747,655	05/05/1998	Johnson, Jr. et al.			
	36	5,750,373	05/12/1998	Garrard et al.			
	37	5,763,441	06/09/1998	App et al.			
	38	5,763,584	06/09/1998	Godowski			


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GW	39	WO 99/21590	05/06/1999	WIPO			Abstract
GW	40	WO 98/13071	04/02/1998	WIPO			Abstract
GW	41	WO 98/49300	11/05/1998	WIPO			Abstract

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	42	Scarisbrick et al., "Coexpression of mRNAs for NGF, BDNF, and NT-3 in the Cardiovascular System of the Pre- and Postnatal Rat," <i>J. Neurosci.</i> , 13(3):875-893 (1993)
	43	Tsukahara et al., "The Role of Brain-Derived Neurotrophic Factor in Transient Forebrain Ischemia in the Rat Brain," <i>Neurosurgery</i> , 34:323-331 (1994)
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	45	Andrade-Rozental et al., "Characterization of Two Populations of Ectopic Cells Isolated from the Hearts of NGF Transgenic Mice," <i>Developmental Biology</i> , 169:533-546 (1995)
	46	Milner et al., "Nerve Growth Factor Treatment of Adult Rats Selectively Enhances Innervation of Urinogenital Tract Rather Than Vascular Smooth Muscle," <i>Int. J. Devl. Neuroscience</i> , 13(5):393-401 (1995)
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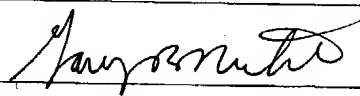
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W	47	5,770,577	06/23/1998	Kinstler et al.			
W	48	5,817,471	10/06/1998	Kaplan et al.	/	/	

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W	49	WO 99/40945	08/19/1999	WIPO			Abstract
W	50	WO 99/50403	10/07/1999	WIPO	/	/	Abstract
W	51	WO 99/26480	06/03/1999	WIPO	/	/	Abstract

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W	52	Lam et al., "Increased Nerve Growth Factor Inducible-A Gene and C-FOS Messenger RNA Levels in the Rat Midbrain and Hindbrain Associated with the Cardiovascular Response to Electrical Stimulation of the Mesencephalic Cuneiform Nucleus," <u>Neuroscience</u> , 71(1):193-211 (1996)
	53	Donovan et al., "Identification of an Essential Nonneuronal Function of Neurotrophin 3 in Mammalian Cardiac Development," <u>Nature Genetics</u> , 14:210-213 (1996)
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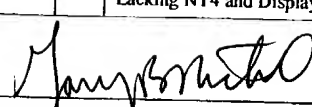
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	58	Jones et al., "Targeted Disruption of the BDNF Gene Perturbs Brain and Sensory Neuron Development but Not Motor Neuron Development," <u>Cell</u> , 76:989-999 (1994)
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	60	Hiltunen et al., "Expression of mRNAs for Neurotrophins and Their Receptors in Developing Rat Heart," <u>Circ. Res.</u> , 79:930-939 (1996)
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	63	Erickson et al., "Mice Lacking Brain-Derived Neurotrophic Factor Exhibit Visceral Sensory Neuron Losses Distinct from Mice Lacking NT4 and Display a Severe Developmental Deficit in Control of Breathing," <u>J. Neurosci.</u> , 16(17):5361-5371 (1996)
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	65	Ibáñez, "Neurotrophin-4: The Odd One Out in the Neurotrophin Family," <u>Neurochemical Research</u> , 21(7):787-793 (1996)
	66	Wang et al., "Localized Synaptic Actions of Neurotrophin-4," <u>J. Neurosci.</u> , 18(13):4985-4992 (1998)
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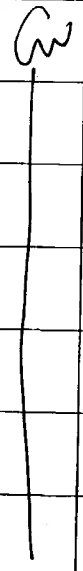
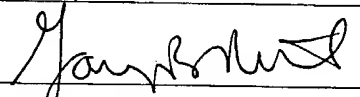
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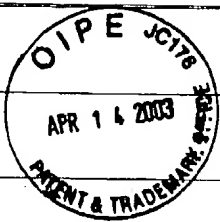
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	72	Binder et al., "Selective Inhibition of Kindling Development by Intraventricular Administration of TrkB Receptor Body," <u>J. Neurosci.</u> , 19(4):1424-1438 (1999) (abstract only)
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	76	Segal et al., "Differential Utilization of Trk Autophosphorylation Sites," <u>J. Biol. Chem.</u> , 271(33):20175-20181 (1996) (abstract only)
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	86	Goede et al., "Analysis of Blood Vessel Maturation Processes During Cyclic Ovarian Angiogenesis," <u>Lab. Invest.</u> , 78(11):1385-1394 (1998) (abstract only)
	87	Mack et al., "Biologic Bypass with the Use of Adenovirus-Mediated Gene Transfer of the Complementary Deoxyribonucleic Acid for Vascular Endothelial Growth Factor 121 Improves Myocardial Perfusion and Function in the Ischemic Porcine Heart," <u>J. Thorac. Cardiovasc. Surg.</u> , 115(1):168-176 (1998) (abstract only)
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	89	Symes et al., "Gene Therapy with Vascular Endothelial Growth Factor for Inoperable Coronary Artery Disease," <u>Ann. Thorac. Surg.</u> , 68(3):830-836 (1999) (abstract only)
	90	Losordo et al., "Gene Therapy for Myocardial Angiogenesis," <u>Am. Heart J.</u> , 138(2 Pt. 2):132-141 (1999) (abstract only)
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